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The ground state of the cation, three lowest electronic states of the neutral, and two anionic states of Li3O were studied using different ab initio techniques. Stationary points on the potential energy surfaces were determined both at complete active space (CAS) self-consistent field (SCF) and at second-order Møller-Plesset (MP2) levels of theory. Excited states were approached using the single-excitation configuration interaction (CIS) method. Electron detachment energies for the anionic and neutral states were calculated at the quadratic configuration interaction (QCI) level with single, double, and approximate triple excitations (SD(T)) included. The calculations indicate that Li3O^{*} possesses two bound electronic states. The ground ¹A₁' state has an equilibrium D3h structure and a vertical electron detachment energy (VDE) of 0.66 eV. The ³E' bound state pseudorotates through ³A₁ and ³B₂ stationary points. The barrier for pseudorotation was found to be less than 0.002 eV at the QCISD(T) level. Two VDE peaks for the ³E' anion were predicted to be at 0.45 and 1.15 eV, for transitions to the ground and the first excited state of the neutral, respectively. The ground state of the cation and the first three electronic states of the neutral Li3O were also considered and the vertical ionization potential for the ground neutral state was found to be 3.60 eV. Li3O and Li3O^{*} are thermodynamically stable with respect to the unimolecular decompositions Li3O^{*}(O) → Li2O + Li^{*}(O). Hence the species should be amenable to experimental studies.

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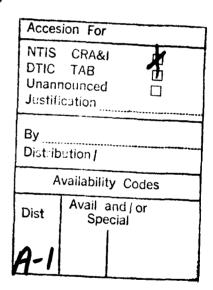
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Anionic and Neutral States of Li₃O

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Abstract

The ground state of the cation, three lowest electronic states of the neutral, and two anionic states of Li₃O were studied using different ab initio techniques. Stationary points on the potential energy surfaces were determined both at complete active space (CAS) self-consistent field (SCF) and at second-order Møller-Plesset (MP2) levels of theory. Excited states were approached using the single-excitation configuration interaction (CIS) method. Electron detachment energies for the anionic and neutral states were calculated at the quadratic configuration interaction (OCI) level with single, double, and approximate triple excitations (SD(T)) included. The calculations indicate that Li₃O possesses two bound electronic states. The ground ¹A₁' state has an equilibrium D_{3h} structure and a vertical electron detachment energy (VDE) of 0.66 eV. The ³E' bound state pseudorotates through ³A₁ and ³B₂ stationary points. The barrier for pseudorotation was found to be less than 0.002 eV at the QCISD(T) level. Two VDE peaks for the ³E' anion were predicted to be at 0.45 and 1.15 eV, for transitions to the ground and the first excited state of the neutral, respectively. The ground state of the cation and the first three electronic states of the neutral Li₃O were also considered and the vertical ionization potential for the ground neutral state was found to be 3.60 eV. Li₃O and Li₃O are thermodynamically stable with respect to the unimolecular decompositions Li₃O⁻⁽⁰⁾ → Li₂O + Li⁻⁽⁰⁾. Hence the species should be amenable to experimental studies.

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Recent theoretical studies indicate that neutral molecular radicals containing alkali metal atoms can accommodate more than one bound anionic state. The simple alkali metal oxide diatomic molecules possess electronically bound anionic states of ${}^3\Pi$, ${}^1\Pi$, ${}^1\Sigma^+$, and ${}^3\Sigma^+$ symmetry [1]. Experimental [2,3] and theoretical [4] studies on alkali metal trimer anions (M₃-) have concentrated primarily on the lowest ${}^1\Sigma_g^+$ isomer. Recently, we demonstrated that one triplet (3A_2 ') and two quintet (5A_1 " and 5A_2 ') states of Li₃- and Na₃- are also electronically stable for a wide range of molecular geometries [5]. Also LiFLi- possesses two electronically bound states of ${}^1\Sigma_g^+$ and ${}^3\Sigma_u^+$ symmetry [6].

The neutral Li₃O has already been studied both experimentally [7] and theoretically [8-11]. Wu at al. identified Li₃O in the course of their study on the nature of gaseous species over solid lithium oxides [7]. The values of the ionization potential, atomization energy, and dissociation energy to produce Li + Li₂O were found to be 4.54 ± 0.2 eV, 228.7 ± 2 kcal/mol, and 50.7 ± 10 kcal/mol, respectively [7,12].

Li₃O is an example of a "hypermetalated" molecule with a stoichiometry which violates the octet rule [8]. In addition, it is a promising candidate for being a "superalkali", (i.e., a molecular system whose first ionization potential is smaller than that of the Cs atom [9-11]). Both of these unusual chemical features are related to the nature of the highest occupied molecular orbital (HOMO). It displays bonding interactions between pairs of Li "ligands" which help to offset the octet-rule-violating structure and antibonding Li-O interactions.

Early predictions of a $C_{2\nu}$ equilibrium structure for Li₃O [8] have not been confirmed in more advanced calculations [13,10] which indicated a D_{3h} structure, similar to that of Li₃O⁺. Theoretical predictions of the adiabatic and vertical ionization potential produced 3.55 and 3.45 eV, respectively [10,11], far outside the range of experimental values [7], although the theoretical dissociation energy of 47.1 kcal/mol [10] agrees well with the experimental prediction.

To the best of our knowledge, neither the excited electronic states of the neutral nor the anionic states of Li₃O have yet been experimentally studied.

II. Computational Aspects

For the lithium atom, we used the Dunning (9s5p/3s2p) oneelectron basis set [14] supplemented with diffuse s and p functions with the same exponent 0.0074 [15] and one d function with the exponent 0.2 [16]. This basis set is detailed in Ref. [5]. For the oxygen atom we employed Dunning's aug-cc-pVDZ basis set which was designed to describe anionic species [17]. Cartesian d functions were used throughout the calculations and the full basis set for the molecule consists of 82 contracted gaussian functions.

Potential energy surfaces were explored within a complete active space (CAS) self-consistent field (SCF) formalism as well as at the second-order Møller-Plesset (MP2) theory level.

In CAS SCF calculations we imposed a constraint that molecular orbitals which result from the core 1s atomic orbitals were doubly occupied in every configuration state function (CSF). The neglected core-core and core-valence correlation effects are negligible for the lithium and oxygen atoms due to the low polarizability of the 1s cores. The remaining eight (cation), nine (neutral) or ten (anion) electrons were distributed in all possible ways among four a₁, two b₁, and two b₂ molecular orbitals (the C_{2v} symmetry labeling is used). This choice of the active space led to 1764, 2352, 1176, and 1512 CSF's for the cation, neutral, singlet and triplet anion, respectively. The CAS SCF calculations were performed with the Utah MESS-KIT modular electronic structure codes [18] which generate analytical second geometrical derivatives. Stationary points on the potential energy surfaces were determined using our automated surface walking algorithm [19].

In the case of the neutral 2²A₁ state, which correlates with the ²E' state, the CAS SCF optimization was hindered by the problem of "root-flipping" [20]. Since the MP2 approach is inapplicable for excited electronic states, we invoked single-excitation configuration

interaction (CIS) approach [21] to determine geometry and relative energy of the 2^2A_1 transition state. The CIS results for the doublet neutral state should be considered cautiously. In the CIS approach, creation of spin eigenstates relies on having an RHF ground state and non-interaction of singlets and triplets does not carry over to doublets and quartets with a UHF reference. The Gaussian 92 code [22] attempts to handle these doublet cases but the theory is not clean anymore [23]. In view of the above doubts, we "calibrated" the CIS approach on the 2B_2 electronic state which also correlates with $^2E'$ but for which the CAS SCF and MP2 approaches are straightforwardly applicable.

In the MP2 geometry optimizations we allowed for the correlation of the core orbitals. In general, the structures corresponding to stationary points are quite similar at the CAS SCF and MP2 levels what suggests that the core-core and core-valence correlation effects are not important for geometrical predictions.

The restricted CAS SCF approach is capable to predict accurate geometries but it is inappropriate to accurately compare energies of species with a different number of electrons. Hence we employed the quadratic configuration interaction (QCI) approach with single, double and approximate triple excitations (SD(T)) [24] to determine relative energies and electron detachment energies. The QCISD(T) approach is size-extensive and takes into account dynamical correlation affects. In the QCI calculations, we kept the eight core electrons uncorrelated. We checked in our earlier study on LiFLi- [6] that such a restriction changes the vertical detachment energy for the ground state anion by less than 0.003 eV. The QCI results were obtained with the Gaussian 92 suite of codes [22].

III. Results

The stationary points on the potential energy surfaces of the cation, neutral, and anion determined at the CAS SCF and MP2 levels are characterized at Table 1 and the geometrical parameters used are defined in Fig. 1. The vibrational frequencies were calculated using analytical second derivatives. For the excited 2B_2 and 2A_1 states of

the neutral, stationary points are also reported at the CIS level. The spatial extents of the electronic charge distributions are characterized by the SCF values of $\langle R^2 \rangle$. The reported relative energies were obtained at the QCISD(T) level, as were our vertical (VDE) and adiabatic electron affinities (EA_a) presented in Tables 2 and 3 for the neutral and the anion, respectively.

A. The Cation and Neutral

The D_{3h} electronic configuration for the closed-shell cation involves an orbital occupation $3a_1'^22e'^21a_2''^2$ (the core 1s orbitals are not included in this labelling) which will be denoted (+). Our D_{3h} geometry and frequencies for the closed-shell cation are quite similar at the CAS SCF and MP2 levels. They are also in a good agreement with the results of Rehm et al. [10]. The piramidization mode (a_2''), which lowers symmetry to C_{3v} , has the lowest frequency. Interestingly, the cation H_3O^+ , studied earlier [25] has a C_{3v} equilibrium structure with the D_{3h} barrier of only 1.07 kcal/mol [26].

The MP2 equilibrium structure of the ground state neutral with the electronic configuration (+)4a₁' is again D_{3h} and similar to that of the cation. Because the ²A₁' wavefunction is found to have a single configuration character, the MP2 prediction should be quite accurate. Unexpectedly, the e' (in-plane) vibrational mode has an imaginary frequency at the CAS SCF level. Doubting whether the CAS SCF e' imaginary frequency is physically meaningful, we carried out a CAS SCF search for a new stationary point in C_{2v} symmetry and found a structure with $R_1=1.683$ Å, $R_2=1.731$ Å, $\vartheta=133^\circ$ and an energy 0.06 eV lower than at the D_{3h} stationary point. However, the QCISD(T) energy is lower by 0.02 eV at the D_{3h} than at the C_{2v} CAS SCF stationary point. Hence, we conclude that the D_{3h} structure corresponds to a genuine minimum and the CAS SCF approach suffers for symmetry breaking artifacts [27]. Even though this ²A₁' state has a D_{3h} equilibrium structure, the MP2 -vibrational frequencies of the a2" and e' modes are much softer than in the underlying cation which implies that C_{3v}, C_{2v}, and C_s symmetries are easily accessible by vibrational movement.

The 4a1' HOMO of the neutral is dominated by Li 2s orbitals which interact constructively with each other and destructively with small s-type contributions from the central O atom, as observed in Refs. [8-10]. The Mulliken-population atomic charges are +0.20 and -0.60 for Li and O, respectively. Our values for the VDE and EAa of this ground state of Li₃O are 3.60 and 3.59 eV, respectively, in a good agreement with the electron propagator theory VDE of 3.45 eV [11]. However, these results disagree with the experimental estimation of 4.54±0.2 eV [7]. The theoretical VDE for Li₃O is lower than that of alkali metal atoms, so our result support the claimed "superalkali" nature of Li₃O [9-11].

The first excited state for the neutral of ²E' symmetry has a dominant orbital occupancy of (+)3e' and is subject to first-order Jahn-Teller (FOJT) distortion. Geometry optimization for the resulting ²B₂ component of this state is straightforward and the resulting CAS SCF and MP2 geometries and frequencies are quite similar. Due to problems with "root-flipping" at the CAS SCF level, geometry optimization for the ²A₁ component of this ²E' state was performed at the CIS level. The reliability of the CIS approach was tested on the ²B₂ state where the stationary point characteristics were found to be similar for the CIS, CAS SCF, and MP2 approaches. We therefore believe that application of the CIS approach to the ²A₁ state is justified.

Pseudorotation in the $^2E'$ state is depicted in Fig. 2. Estimating the pseudorotation barrier requires a consistent calculation of the 2A_1 and 2B_2 energies. A QCISD(T) estimate for the 2A_1 energy was obtained using the following approximation: $E_{QCI}(^2B_2) - E_{CIS}(^2B_2) + E_{CIS}(^2A_1)$, because only the CIS method could be applied directly to the 2A_1 state. The barrier for pseudorotation thus found was less than 0.04 eV.

The CIS oscillator strength for the ${}^2E' \leftarrow {}^2A_1'$ transition is 0.48 and the QCISD(T) vertical excitation energy is 0.728 eV. Interestingly, the neutral ${}^2E'$ state thus produced would be in the neighborhood of its conical intersection. This fact could be reflected in the dynamics of the ${}^2E'$ state, which might be studied using time dependent two-photon ionization techniques [28].

The next excited state of Li₃O has 2A_2 " symmetry and (+)2a₂" orbital occupancy and an equilibrium D_{3h} structure. In comparison with the cation, the vibrational frequencies are quite similar and the equilibrium R is somewhat shorter. These features are consistent with the "out-of-the-plane" nature of the 2a₂" orbital and similar trends were observed in the alkali metal trimers with the unpaired electron in an a₂" orbital [5,29]. In Li₃O, the 2a₂" orbital is dominated by the Li 2p atomic orbitals with constructive Li-Li and destructive Li-O interactions. The CIS oscillator strength for the 2A_2 " \leftarrow 2A_1 ' transition is 0.32 and the QCISD(T) vertical excitation energy is 1.551 eV.

B. The Anion

The ground electronic state of Li₃O⁻ has a D_{3h} equilibrium structure with a geometry close to that of the cation and that of the ground state neutral. There is again a significant difference between the a_2 " out-of-plane vibrational frequency calculated at the MP2 and CAS SCF levels, but this time both approaches predict a D_{3 h} minimum. The MP2 frequencies are quite similar for the anion and the 2A_1 ' neutral.

The wavefunction for the anionic ${}^{1}A_{1}'$ state is dominated by the $(+)4a_{1}'^{2}$ configuration, but two equivalent contributions from the $(+)3e'^{2}$ configuration are also important and have CI coefficients of 0.30 each. This feature is consistent with the low separation between the ${}^{2}A_{1}'$ and ${}^{2}E'$ states of the neutral (0.7 eV) and suggests that ${}^{3}E'$ anionic state may be also electronically stable. The VDE for the ${}^{1}A_{1}'$ anion state is 0.656 eV and the electron detachment peak is expected to be sharp because the anion and neutral equilibrium structures are very similar.

In addition to the ground ¹A₁' state, the anion Li₃O⁻ possesses a second electronically <u>bound</u> state of ³E' symmetry with the dominant electronic configuration (+)4a₁'3e'. Due- to FOJT distortion, stationary points develop on ³B₂ and ³A₁ surfaces. Both CAS SCF and MP2 approaches locate a transition state on the ³B₂ surface with negative curvature along the b₂ distortion mode. The surface must, however,

be extremely flat since at the QCISD(T) level the relative order of the 3B_2 and 3A_1 stationary points is changed, giving 3B_2 lower than 3A_1 by 0.001 eV, whereas the difference at the MP2 level was 0.011 eV with the opposite order. Clearly, the pseudorotation, as depicted on Fig. 2, is practically free and the numerical values of the b_2 mode frequency are probably of little reliability.

The electron detachment energies for the pseudorotating ³E' state were calculated at both daughter-state stationary points (see Table 3). The detachment energies to the ground ²A₁' state of the neutral are predicted to lie in the range 0.43-0.46 eV. The detachment energies to the higher pseudorotating ²E' state of the neutral lie within 1.1-1.2 eV. We thus conclude that the electron detachment peaks from the ³E' anion state would bracket the anion's ground state detachment peak at 0.66 eV. Hence, the ³E' state may be amenable to experimental detection, providing a significant concentration of the triplet can be produced in the source.

Another feature which makes Li₃O and Li₃O suitable for experimental studies is their thermodynamic stability. The energy barrier (corrected for zero-point vibrations) for the decomposition $\text{Li}_3\text{O}^{(+0-)} \rightarrow \text{Li}_2\text{O} + \text{Li}^{(+0-)}$ is predicted to be 84.40, 44.54, and 46.03 kcal/mol for the cation, neutral, and anion, respectively. The increased stability of the anion compared to that of the neutral reflects the fact that the electron affinity is larger for Li₃O than for Li. Our decomposition energy for the neutral is within the range of experimental data 50.7±10 kcal/mol [7,12].

IV. Conclusions

Theoretical calculations indicate that Li₃O⁻ can possess more than one bound electronic state. The fully symmetric singlet state is the ground state, but a triplet state is also electronically bound. Although only the anionic states of Li₃O⁻ were studied in this work, the preliminary results for the isoelectronic Li₄N⁻ produce a VDE from the ¹A₁ state of ca. 0.51 eV. For the ³T₂ state of Li₄N⁻, the VDE is ca. 0.17 eV, whereas detachment to the ²T₂ state of the neutral Li₄N would require ca. 0.98 eV.

For the ground ¹A₁' state of Li₃O₋, the electron detachment energy is 0.66 eV. The cation, ground state neutral, and the anion all have the equilibrium D_{3h} geometries, with the O-Li distances in the range 1.71-1.69 Å (MP2 level).

The pseudorotating <u>anionic</u> ³E' state is electronically stable with respect to the ²A₁' and ²E' states of the neutral by ca. 0.4 and 1.1 eV, respectively The geometrical features of the daughter-state ³A₁ and ³B₂ stationary points are consistent with the bonding/antibonding interactions among the atomic orbitals contributing to the anion's singly occupied molecular orbitals. The QCISD(T) energies of the two stationary points are the same to within 0.002 eV. Hence, the pseudorotation is practically free.

For the neutral Li₃O, we studied the ²E' and ²A₂" excited states in addition to the ²A₁' ground state. The ²E' state pseudorotates through a ²B₂ minimum and a 2^2 A₁ transition state with a pseudorotation barrier of ca 0.04 eV. The oscillator strength for the ²E' \leftarrow ²A₁' transition is 0.48 and the corresponding vertical excitation energy is 0.728 eV.

The 2A_2 " neutral, with a D_{3h} equilibrium structure, is separated from the ground state neutral by 1.551 eV, and is easily accessible since the oscillator strength is 0.32.

Our vertical and adiabatic ionization potentials for Li₃O of 3.60 and 3.59 eV, respectively, disagree with the experimental value of 4.54 ± 0.2 eV [7], yet agree with other theoretical predictions [10,11].

All of the species discussed in this study thermodynamically stable with respect to unimolecular decomposition. Hence, the neutral and the anion are more amenable to experimental studies than their hydrogen-substituted analogs H₃O and H₃O⁻, which are thermodynamically unstable [25].

Acknowledgments

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Captions for Figures

Figure 1. Geometrical parameters for the $C_{2\nu}$ structure of the Li_3O neutral and anionic species.

Figure 2. Graph representing the pseudorotation for anionic or neutral Li₃O.

Table 1. Stationary points (distances R in Å, & in degrees) and harmonic frequencies (cm-1) for different electronic states of the relative energies (QCISD(T) level) are in eV. The dominant electronic configurations (DEC) are given with respect to the closed-shell cationic core 3a1'22e'21a2"2, which is denoted (+). the cation, neutral, and anion of Li3O. The spatial extent of the SCF electronic charge distribution <R2> is given in a.u., and

Species	Symmetry	State	DBC	Method	Geometry	Vibrational Frequencies ^{a)}	& 2>	EQCI
Li ₃ 0+	D3h	¹ A ₁ .	(+)	CAS	R=1.726	a2" 244, e' 265, a1' 655, e' 806	91	0.0
				MP2	R=1.708	e' 270 (73), a2" 291 (270), a1' 687 (0), e' 853 (290)		
Li ₃ 0	D3h	² A ₁ '	(+)4al'	CAS	R=1.707	e' 251i, a2" 77, a1' 669, e' 767	141	-3.593
				MP2	R=1.694	e' 135 (462), a2" 180 (2), a1' 699 (0), e' 869 (109)		
							•	
Li30	C2v	2 B 2	(+)3b2	CAS	R ₁ =1.705	b ₁ 193, b ₂ 215, a ₁ 219,	153	-2.890
		·			R2=1.707	al 679, al 801, b2 871		
				MP2	R1=1 690	h2 202 (9250), at 213 (87), bt 243		
				ı	R ₂ =1.707	(1), a ₁ 687 (52),		
					0=114.96	b2 844 (148), a ₁ 849 (16)		
				CIS	R1=1.662	b ₁ 210, b ₂ 229, a ₁ 244,		
					R ₂ =1.699	al 697, b2 814, al 903		
					0=117.96			
Li30	C ₂ v	2^2A_1	(+)7aI	CIS	R1=1.718	b2 132i, b1 211, a1 424,		-2.854b)
					R2=1.671	a ₁ 702, b ₂ 745, a ₁ 1025		
					v=121.08			

Table 1. (continued)

Li30 D3h Zi30- D3h Li30- C2v 3	² A ₂ "				Frequencies ^{a)}	<\\	ന്
D3h D3h C2v	² A ₂ "						
D3h C2v		(+)2a2"	CAS	R=1.706	a2" 215, e' 232, a1' 678, e' 804	681	-2.042
D3h C2v			MP2	R=1.697	e' 232 (403), a2" 247 (4), a1' 702 (0), e' 839 (1353)		
D3h C2v							
C2v	¹ A ₁ '	(+)4a1 ^{,2}	CAS	R=1.708	a2" 85, e' 180, a1' 666, e' 748	274	-4.248
C2v			MP2	R=1.697	e' 171 (77), a2" 172 (179),		
C2v					[a1' 693 (0), e' 856 (214)		
C2v							
	3A1	(+)6a17a1	CAS	R ₁ =1.709	b2 144, b1 149, a1 257,	249	-4.023
	ı			R ₂ =1.707	a ₁ 673, b ₂ 723, a ₁ 858		
				0=131.18			
			MP2	R1=1.717	b2 101 (123), b1 202 (166), a1 235		
				R2=1.697	(316), a ₁ 682 (5),		
				0=129.23	b2 806 (781), a ₁ 875 (458)		
Li ₃ 0- C ₂ v	3B2	(+)6a13b2	CAS	$R_1 = 1.700$	b2 270i, b1 144, a1 191,	246	-4.024
				R2=1.707	a 1 673, a 1 761, b 2 809		
				v=109.43			,
			MP2	R1=1.692	b2 154i (6108), a ₁ 176 (342), b ₁ 200		
				R ₂ =1.709	[(162), a ₁ 682 (139),		
	•			0=109.81	a 1 824 (439), by 845 (318)		

a)IR intensities (km/mol) in parenthesis

b)the energy of 2²A₁ is estimated as: EQCI(²B₂) + ECIS(2²A₁) - ECIS(²B₂)

Table 2. Electron vertical detachment energies (VDE) and adiabatic electron affinities (EA_a) (in eV) calculated at the QCISD(T) level for the transitions $\text{Li}_3\text{O}^+ + \text{e} \leftarrow \text{Li}_3\text{O}$.

Transition	VDE	EAa	
$^{1}A_{1}' + e \leftarrow ^{2}A_{1}'$	3.603	3.593	
$^{1}A_{1} + e \leftarrow ^{2}B_{2}$	2.933	2.890	
$^{1}A_{1}+e\leftarrow 2^{2}A_{1}^{a)}$	2.954	2.909	
$^{1}A_{1}' + e \leftarrow ^{2}A_{2}"$	2.052	2.042	

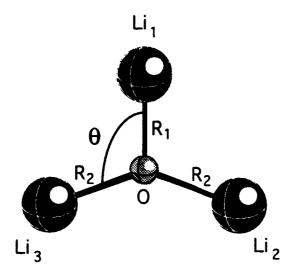
a)the energy of 2²A₁ is estimated as in Table 1

Table 3. Electron vertical detachment energies (VDE) and adiabatic electron affinities (EA_a) (in eV) calculated at the QCISD(T) level for the transitions Li₃O + e \leftarrow Li₃O⁻.

Transition	VDE	EAa	
$^2A_1' + e \leftarrow ^1A_1'$	0.656	0.656	
$1^2A_1 + e \leftarrow {}^3A_1$	0.450	0.430	
$2^2A_1 + e \leftarrow {}^3A_1$	1.346a)	1.074b)	
$1^2A_1 + e \leftarrow {}^3B_2$	0.459	0.431	
$^2B_2 + e \leftarrow ^3B_2$	1.151	1.134	

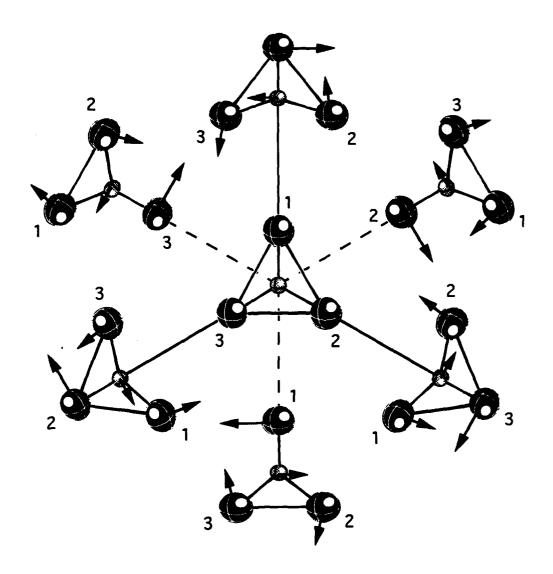
a)the VDE is estimated as: $E_{QCI}(^3A_1) - E_{QCI}(^2B_2) + E_{CIS}(^2B_2) - E_{CIS}(^2A_1)$.

b)the EA_a is estimated as: VDE + $E_{CIS}(2^2A_1)$ at the 3A_1 geometry) - $E_{CIS}(2^2A_1)$ at its CIS stationary point).



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FIG. 1



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